Long Term Pulmonary Toxicity of Indium Arsenide and Indium Phosphide Instilled Intratracheally in Hamsters

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Abstract: Long Term Pulmonary Toxicity of Indium Arsenide and Indium Phosphide Instilled Intratracheally in Hamsters: Koji YAMAZAKI, et al. Department of Hygiene, Graduate School of Medical Sciences, Kyushu University—We examined the long-term toxicological effects of III-V semiconductor particles on laboratory animals. Eight-week-old male Syrian golden hamsters were given 4 mg/kg indium arsenide (InAs) or 3 mg/kg indium phosphide (InP) particles, both containing 2.4 mg/kg as indium, intratracheally twice a week for 8 weeks. Control hamsters were given only a vehicle, phosphate buffer solution. Over a 2-yr period, these animals were euthanized serially and the biological effects were determined. Weight gain was significantly suppressed in both InAs and InP groups, compared to the control group, with greater suppression in the InAs group. The serum indium concentration in the InAs group was about twice as high as that in the InP group, in each period. Histopathologically, severe pulmonary inflammation and localized lesions with bronchiolo-alveolar cell hyperplasia were present in both InAs and InP groups from just after the last administration. The localized lesions gradually transformed to proteinosis-like lesions with periodic acid Schiff reagent positive exudation after 16 wk. By means of immunostaining of proliferating cell nuclear antigen and argyrophilic proteins associated with nucleolar organizer regions staining, proliferative activities were evidenced in the localized lesions at each time and were noticeable in their early stage. K-ras, a known oncogene, was not mutated in association with these lesions. In conclusion, InAs and InP particles caused severe systemic toxicity and pulmonary localized hyperplastic lesions with proliferative activity were derived via the respiratory route. Neoplastic change was nil even in a 2-yr observation period.

Keywords: semiconductor materials, indium arsenide, indium phosphide, intratracheal instillation, pulmonary toxicity, proliferative activity

Indium arsenide (InAs) and indium phosphide (InP) belong to the III-V semiconductor compounds that are widely used in the semiconductor industry as they possess superior electronic properties1, 2). With increasing use of these materials, health hazards to workers at semiconductor factories as well as environmental pollution have to be given attention. In the case of workers, the primary route of exposure would be direct inhalation. Chronic toxicity may be an urgent health hazard to be addressed because exposure to such materials may lead to accumulation in the body. There are numerous data on laboratory animals concerning the toxicity of gallium arsenide (GaAs), one of the most widely used semiconductor materials3–6) and data on the biological effects of InAs7, 8) and InP9–12) have recently appeared.

When the biological effects of semiconductor compounds are assessed, the toxicity of arsenic has been mainly focused on, whereas constitutive elements are considerably related to the effects and it is necessary to investigate their toxicities. We investigated a subacute toxicity of 7.7 mg/kg of InAs and GaAs and 1.3 mg/kg of arsenic trioxide (As2O3) by repeated intratracheal instillation of these agents into Syrian golden hamsters for 7 or 8 wk13–15). Pulmonary inflammation was severe and localized lesions of bronchiolo-alveolar cell hyperplasia with metaplasia and squamous cell hyperplasia were frequent in the lungs of InAs-treated hamsters but not in GaAs or As2O3-treated hamsters. We therefore considered that indium, not arsenic, probably accounted for most of the changes in the lungs. To elucidate which element, indium or arsenic, plays the main role in long-term systemic
and pulmonary toxicity of InAs, equimolar InP was also used. We focused on the characterization of pulmonary hyperplastic lesions, especially proliferation and neoplastic potency. InAs was given at about half the dose used in the earlier studies because severe emaciation occurred and long-term observation was not feasible\(^{15}\). This is the first report on the long term biological effects of indium compounds instilled intratracheally.

**Materials and Methods**

**Test materials**

InAs and InP, over 99.99% pure and provided by Mitsuwa Chemicals (Osaka, Japan) were finely pulverized in an agate mortar and the mean count diameter for InAs and InP was 1.58 \(\mu\)m [\(\sigma\) (geometric standard deviation): 2.15] and 1.06 \(\mu\)m [\(\sigma\): 1.80]. Both powders were analyzed in an energy dispersive X-ray fluorescence element analyzer (MESA-500, Horiba, Ltd., Kyoto, Japan). InAs powder contained 0.01\% (wt\%) of zirconium and 0.01\% of yttrium, and InP powder contained 0.01\% of zirconium and a trace amount of yttrium. In particulate form, these compounds were suspended aseptically in pathogen-free 0.025 M phosphate buffer solution (PBS), pH 6.86 just before each instillation.

**Animals**

Weanling male 6 week-old Syrian golden hamsters were purchased from Japan SLC, Inc., (Shizuoka, Japan) and housed under conditions of controlled temperature of between 22 and 25\(^\circ\)C. All the animals were maintained on a cycle of 12-h lighting conditions in a specific pathogen-free laboratory room of the Laboratory of Animal Experiments, Faculty of Medicine, Kyushu University. Four hamsters were housed in one stainless cage with diets (CE-2 pellets, Clea Japan, Inc., Tokyo, Japan) and tap water freely available. After they had acclimated to the animal facility for 2 wk before the start of the experiment, 144 animals were given an intratracheal instillation in a volume of 2.0 ml/kg suspension, containing equimolar particles, 21.08 \(\mu\)mol/kg of InAs (4.0 mg/kg) or 20.58 \(\mu\)mol/kg of InP (3.0 mg/kg), whereas the control group were given PBS only. For the intratracheal instillations, we used the methods of Ishinishi \textit{et al.} \(^{16}\). Before each instillation, the hamsters were given 0.1 ml of atropine sulfate subcutaneously and anesthetized inside a desiccator filled with diethyl ether gas. Suspensions of particles were instilled into the trachea of the hamsters by means of a microsyringe with a special metal needle. The hamsters were thus treated twice weekly for 8 wk.

**Autopsy of animals and tissue sampling**

After 0, 8, 16, 40, 64 and 88 wk of treatment, 4–8 hamsters in each group were weighed and deeply anesthetized with ether. Total blood taken from the posterior vena cava was centrifuged into serum. Resected lungs were weighed and fixed in 10% neutral buffered formalin for 20 to 24 h and processed in paraffin. Specimens were cut at a thickness of 6 \(\mu\)m and mounted on microscopic glass slides. Each section was stained with hematoxylin and eosin and periodic acid Schiff reagent (PAS). When localized lesions of bronchiolo-alveolar cell hyperplasia were evident, the same sections were newly cut and assessed, for proliferating cell nuclear antigen (PCNA), argyrophilic proteins associated with nucleolar organizer regions (AgNORs) and the mutation status of the K-ras gene.

**Quantifying of indium and arsenic in the sera by inductively coupled plasma mass spectrometry (ICP-MS)**

Serum samples were stored at \(-20\)^\circ\text{C} until analysis. They were prepared for analysis by diluting a 200 \(\mu\)l sample with 9.8 ml of 0.4% \(\text{HNO}_3\). Each sample contained yttrium at 20 ng/ml as an internal standard. Indium and arsenic were quantified for all samples with aqueous standard solutions in 0.4% \(\text{HNO}_3\) with ELAN 5000 ICP-MS (Perkin Elmer Japan).

**Immunohistochemical staining of PCNA**

Selected tissue sections containing pulmonary localized lesions were stained for PCNA\(^{17}\), by a standard immunoperoxidase method and the avidin-biotin-peroxidase complex\(^ {18, 19}\). Monoclonal mouse anti-PCNA antibody (PC10, Santa Cruz Biotechnology, Inc., California, USA) was used for detection of the antigen at 1:100 dilution, overnight at 4\(^\circ\)C. Staining was completed with a 5-min incubation in the substrate 0.03% 3, 3'-diaminobenzidine in 0.05 M Tris buffer (pH 7.6) containing 0.04% hydrogen peroxide. Immunostaining was interpreted independently by two different observers. Expression of PCNA was confined to the nuclei of the localized lesions and nuclear stainings were scored based on the labeling index (L.I.)\(^ {20}\).

**AgNORs staining**

Pulmonary localized lesions were also stained for AgNORs, as described\(^ {21}\). Discrete dots in at least 100 cells in each lesion\(^ {22, 23}\) were counted and scored based on the average. As a control, we evaluated the AgNORs count of normal alveolar epithelium in the lungs of the control group.
DNA extraction from specimens on slides and PCR with designed restriction fragment polymorphism (RFLP) analysis to detect K-ras mutation

Pulmonary localized lesions were collected from paraffinized mounted sections, by a microdissection technique\textsuperscript{24, 25}. The materials collected were digested with proteinase K to extract DNAs. The final concentrations of samples were at least 100 cells/µl.

Mutations in codons 12 and 13 of the K-ras gene were examined by hemi-nested PCR methods\textsuperscript{24, 25}. The first PCR reaction was performed in a 50 µl reaction mixture containing 5 µl template DNA prepared as above. The first PCR product was diluted 1:50 in distilled deionized water and 1 µl of the dilution was used as a template in a 20 µl reaction mixture of the second PCR. The primers were previously designed\textsuperscript{24, 25} to establish new restriction sites into the final PCR product derived from a wild-type allele. Fifteen µl of the second PCR product was digested with 8–10 units of one of the specific restriction enzymes, BstNI for codon 12 and BglII for codon 13, under conditions recommended by the suppliers (NEW ENGLAND BioLabs Inc., Beverly, USA). The digested products were always run with lymphocytes collected individually and simultaneously, as negative controls, and complete digestion was confirmed. The final products were electrophoresed with 100 base DNA ladder (Takara BIOCHEMICALS, Shiga, Japan) and visualized by UV light after ethidium bromide staining. As mutant alleles were not digested by specific restriction enzymes, they appeared larger than the digested wild-type bands.

Statistical analysis

Data on body weight were analyzed by repeated measure-ANOVA. Data on serum concentration of materials and proliferating levels were analyzed by the Mann-Whitney Test. A p-value below a level of 0.05 was considered to have statistical significance. Data are given as the mean ± standard deviation (SD).

Results

Systemic effects

Sixteen intratracheal instillations led to a mean total amount of particles per animal of 7.89 ± 0.58 mg in the InAs group and 6.04 ± 0.40 mg in the InP group, containing 4.77 ± 0.35 mg and 4.75 ± 0.32 mg of indium, respectively.

No hamsters died during the administration period and animals which died during the observation period were excluded from all evaluations. In the control group, 4 were killed accidentally and 4 were cannibalized. Five died of emaciation and 3 were cannibalized in the InAs group and 3 of emaciation in the InP group. Finally, 40 animals in the control group, 40 in the InAs group and 45 in the InP group were examined, as scheduled. At least 4 hamsters in each group were examined at each time during the experiment.

Intratracheal instillations of InAs and InP led to a marked suppression of body weight gain. Fig. 1A shows the body weight of whole animals during the administration period. The body weight of the InAs and InP groups gradually decreased from 5 wk of the administration period and the InAs group showed a greater inhibition of body weight gain than did the InP group. Significant differences in trends of body weight change were found between groups.

Trends in body weight change throughout the observation period are shown in Fig. 1B, for animals observed until 88 wk (5 animals in the InAs group, 4 in the InP group and 4 in the control). The difference in trends of body weight changes between the InAs group and the control group was significant (p<0.0001). Eight wk after the end of treatment, body weight in the InAs group once decreased to 22.24 ± 21.11 g, then increased slowly to the maximum level of 135.78 ± 24.03 g at 16 wk, that is 82.76% of the control group, and soon decreased in parallel to that of the control group. The difference in trends of body weight change between the InP group and the control group was also significant (p<0.05). Weight reduction in the InP group was less than in the InAs group (p<0.0001), the maximum level being 154.33 ± 13.56 g at 16 wk, that is 94.07% of the control group. Although reduction in body weight and emaciation occurred in both InAs and InP groups, no systemic signs such as respiratory distress, dermatologic abnormality, behavioral or neurological disorder were observed.

Serum levels of indium and arsenic

Serum concentrations of indium and arsenic are shown in Fig. 2. At the termination of all instillations, serum indium levels were 7.62 ± 1.43 µM and 3.17 ± 0.56 µM in the InAs and InP groups, respectively. The serum concentration of indium in the InAs group was about twice as high as that in the InP group, in each period, the difference being significant (p<0.0001). Indium was not detected in the sera of the control group. Serum indium had a biphasic pattern with an initial fast component and a subsequent slower component in both InAs and InP groups. The biological half-life of serum indium in the InAs group was 2.5 wk for the fast component, and 60.8 wk for the slower component. As for the InP group, the half-life was 6.2 wk for the fast component and 60.0 wk for the slower component.

In the InAs group, the serum arsenic concentration was continuously about 0.4 µM from 0 to 88 wk after treatment. In the InP and control groups, serum arsenic concentrations were very low, 0.05 ± 0.01 µM and 0.04 ± 0.00 µM, respectively.

Histopathological changes in the lungs

Absolute lung weights of both InAs and InP groups
were significantly higher than that of the control group at each time of measurement (data not shown). The severity of pathologic change in the lungs is shown in Table 1. Diffuse foci of moderate to severe inflammation were present in both InAs and InP groups during the observation period. At an early stage (0, 8, 16 wk) in those two groups, extensive inflammatory foci in the lungs were scattered and consisted of alveolar septa diffusely lined with hyperplastic alveolar epithelium or regenerative epithelium with mild cellular atypia, and infiltration of inflammatory cells. Inflammatory cells mostly consisted of neutrophils mixed with macrophages, mononuclear cells, which were seen in the alveolar spaces, alveolar septum, bronchiolar lumen and peribronchiolar or perivascular tissues. These appeared in association with particles of InAs and InP and subsequently changes to granuloma in alveolar septae and necrotic cell debris in alveolar spaces. From 16 wk,
Fig. 2. Changes in molar concentrations of serum indium and arsenic. Serum indium concentrations were plotted for hamsters in the InAs and InP groups. Serum arsenic concentrations were plotted only for hamsters in the InAs group. The results are shown as the mean ± SD.

Table 1. Pathological changes in the hamster lung after intratracheal instillation of InAs and InP.

<table>
<thead>
<tr>
<th>Pathological changes</th>
<th>Group</th>
<th>0</th>
<th>8</th>
<th>16</th>
<th>40</th>
<th>64</th>
<th>88</th>
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<tr>
<td>Diffuse changes</td>
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<td>Inflammatory response with diffuse hyperplasia of bronchiolo-alveolar epithelium</td>
<td>InAs</td>
<td>+++</td>
<td>+++</td>
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<td>+++</td>
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<td></td>
<td>InP</td>
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<td>+++</td>
<td>+++</td>
<td>+++</td>
<td>++</td>
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<td></td>
<td>Control</td>
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<td>Interstitial fibrosis</td>
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<td></td>
<td>InAs</td>
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<td>InP</td>
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<td>Control</td>
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<td>Localized lesions</td>
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<tr>
<td>Localized hyperplasia of bronchiolo-alveolar epithelium</td>
<td>InAs</td>
<td>+</td>
<td>++</td>
<td>++</td>
<td>±</td>
<td>±</td>
<td>–</td>
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<tr>
<td>with or without squamous cell metaplasia</td>
<td>InP</td>
<td>+</td>
<td>++</td>
<td>++</td>
<td>±</td>
<td>±</td>
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<td>Control</td>
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<tr>
<td>Localized hyperplasia of bronchiolo-alveolar epithelium with PAS positive mucinous exudation</td>
<td>InAs</td>
<td>+</td>
<td>+</td>
<td>++</td>
<td>+</td>
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<tr>
<td></td>
<td>InP</td>
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<tr>
<td>Alveolar proteinosis-like lesion with PAS positive mucinous exudation</td>
<td>InAs</td>
<td>–</td>
<td>–</td>
<td>+</td>
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<tr>
<td></td>
<td>InP</td>
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Severity of the lung lesions was evaluated based on five grades: –; negative, ±; slight, +; mild, ++; moderate, +++; severe.
macrophages with an expanded cytoplasm were scattered about and included black particles. Bronchial epithelium also underwent diffuse hyperplastic or regenerative changes and thickened interstitial fibrosis from 16 wk. These severe inflammatory changes were continuous from 0 to 64 wk.

Pulmonary localized lesions with hyperplastic or regenerative bronchiolo-alveolar epithelium with mild cellular atypia appeared in every lobe of both InAs and InP groups from termination of the treatment, as shown in Figs. 3A and B. These lesions were observed sporadically throughout the observation period and their distribution was not associated with the degree of inflammation. Localized lesions in the InAs and InP groups were the same morphologically and changed gradually, as mentioned below. From 0 to 16 wk, they consisted of a cuboidal or tall-columnar epithelium with mild to moderate cellular atypia, mostly hyperplasia, seen as a focal tubular configuration. Glandular constructions with squamous cell metaplasia and finally keratinization in alveolar spaces were evident. The hyperplastic and metaplastic cells spread within alveolar spaces and alveolar constructions remained intact. The true capsule of the lesions was absent and the edges were poorly defined. From 8 wk, PAS positive mucinous exudation formed in the inner space of the localized lesions (Fig. 3C). After 16 wk, there were alveolar proteinosis-like

Fig. 3. (A) Low magnification photomicrograph of a hamster lung showing diffuse inflammatory change and two localized lesions in an InAs-group hamster just after termination of the final instillation. × 24. (B) Pulmonary localized hyperplastic lesion in an InAs-group hamster consisting of cuboidal or tall-columnar epithelium with mild to moderate cellular atypia making focal tubular configuration just after instillation. Squamous cell metaplasia with keratinization is evident. × 200. (C) Pulmonary localized lesion in an InAs-group hamster showing PAS positive exudation into bronchiolo-alveolar spaces of the localized hyperplastic lesion that appeared gradually after 16 wk. × 100. (D) Pulmonary localized lesion in an InAs-group hamster seen mainly after 40 wk showing expanding bronchiolo-alveolar septae with a huge volume of PAS positive exudation, which we call a 'proteinosis-like lesion'. × 49.
lesions with expanding, huge PAS positive exudation surrounded by alveolar septa lined with flat epithelium, as described earlier\(^{26}\) (Fig. 3D). Findings of neoplastic changes were nil throughout the experiment.

Proliferative activity of pulmonary localized hyperplastic lesions

Proliferating changes were evaluated with two known markers (Fig. 4). Expressions of the PCNA protein were evident on the nuclei of bronchiolo-alveolar cells, mostly in the localized hyperplastic lesions and sparsely in the severely inflamed areas. As in the inflamed areas, L.I. of PCNA varied according to the severity of the inflammation, and could not be evaluated to compare with the L.I. of localized lesions. In both the InAs and InP groups, L.I. was significantly higher in the localized lesion than in normal tissue all the time, but gradually diminished. AgNORs counts for the localized lesions in both InAs and InP groups were significantly higher than for normal tissues of the control group all the time and tended to decrease with time. Between the InAs group and InP group, differences in neither PCNA L.I. nor AgNORs were significant in each period.

When we evaluated the genomic mutation of codons 12, 13 of the K-ras gene in DNAs isolated from the microdissected samples of all the pulmonary localized lesions of InAs and InP-treated hamsters, no mutation in any codon of the K-ras gene was evident in any of the lesions examined (Fig. 5).

Discussion

In a previous work, 14 times we instilled 7.7 mg/kg InAs intratracheally into hamsters, which could not be observed for more than 2 months because of severe emaciation\(^{15}\). We set the instillation dose of indium particles at about a half the dose used in the last work for the present study and this is apparently the first report to evaluate the long-term systemic and pulmonary toxicity of indium compounds.

Indium was readily detected in sera of hamsters in both InAs and InP groups. In the InAs group, serum concentrations of indium just after instillation were 7.62 ± 1.43 µM. Whereas in our previous study, in which about twice the dose of InAs was instilled intratracheally, 19.47 ± 6.54 µM of indium was detected in the sera\(^{16}\). These results suggest that instilled InAs particles dissolve into serum in a dose dependent manner. Serum indium in both groups had a biphasic excretion pattern after intratracheal instillation of particles. Concerning the second component of serum indium, indium is eliminated
slowly from the serum and remains long in the body. Chronic effects on the body must be given attention to if exposed to these particles.

An equimolar amount of the two indium compounds was administered intratracheally and the serum indium level in the InAs group was about double that in the InP group. To explain the possible cause of the difference between serum indium levels in the InAs and InP groups, the amount of indium influx into serum from the lungs and indium outflow from serum must be taken into account. In these two groups, the rates of elimination from serum are supposed to be the same because the half life periods of serum indium in the slower component were the same. The difference in the amount of influx between InAs and InP particles is also speculated to be the basis of the difference. Measuring the pulmonary clearances of these indium particles will clarify the basis.

The toxicity of insoluble particles generally depends on the physical effect of the particles themselves or the chemical properties of dissolved elements, or both. Concerning the effects of InAs or InP particles, repetitive intratracheal instillations lead to accumulation of these particles in the bronchiolo-alveolar spaces and alveolar septae. Respiratory disorders such as airway obstruction, pulmonary inflammation or fibrosis would be expected to inhibit regular growth of animals. The total amounts of particles administered, which were higher in the InAs group than in the InP group, may correlate to the disparity in body weight reduction. Accumulated indium particles in the hamster lungs dissolve and a dissolved form of indium was found in the serum. This indium in the blood circulation is a great potential health risk, as demonstrated by severe emaciation. Weight reduction was severe in the InAs group, particularly in the early period, and it correlated with serum indium concentrations so that serum indium might be related to growth inhibition concentration dependently. Although arsenic is considered to be eliminated immediately from the serum6–27), low levels were constantly sustained. Phosphorus in InP is biologically essential, whereas arsenic in InAs is known to be toxic. Arsenic in the serum may be partly associated with body weight reduction in the InAs group.

As for pulmonary lesions, repeated intratracheal instillations of GaAs into the hamster lung induced severe lung damage during a 2-yr examination period but lung tumors and hyperplastic lesions were never evident28). In the present study, severe pulmonary inflammations and lung weight gain, a sign of a continuing inflammatory response, were observed in both the InAs and InP groups throughout the experiment. In addition, pulmonary localized hyperplastic lesions were observed in both InAs and InP groups. The lesions were almost the same morphologically in the two groups and no obvious difference in severity or frequency was observed, although InAs contains arsenic, a potent carcinogen in human lungs29). Data on arsenic-induced lung carcinogenicity in rodents was not comparable to human epidemiological data16, 29–32). Therefore if the pulmonary localized lesion is induced by unique chemical properties of dissolved elements, the main cause of the lesion is not arsenic but indium.

When lung tissue is exposed by poorly soluble particles, recruitment and activation of inflammatory cells induce untoward effects, including cell proliferation and mutations in crucial oncogenes33). Although retained particles of InAs or InP were microscopically observed around the pulmonary hyperplastic lesions, PAS-positive mucinous exudation was excessive from 16 wk and the lesions transformed morphologically to proteinosis-like lesions rather than to neoplastic tumors. A correlation between the pathogenesis of proteinosis and cancer was indicated by continuous stimulation by insoluble particles34). We suggest that persistent stimulation by accumulated particles induces localized hyperplastic lesions that subsequently produce aberrant proteins, but
the stimuli are not sufficient to induce neoplastic changes. To closely examine from another point of view if the pulmonary lesions have neoplastic activities, we used biological markers that indicate cell proliferation or neoplastic activity. Binding of a specific antibody to PCNA and staining of AgNORs were used to investigate the proliferative activity of cells. The use of both is feasible in the case of chemically induced tumors in hamsters. Although the levels gradually decreased, PCNA protein expression and AgNORs activity were prominent in the pulmonary localized lesions throughout the examination. The cell proliferative activity of these indium compounds on bronchiolo-alveolar cells is thus confirmed, although it is only temporary. K-ras is a potent proto-oncogene and considered to be an indicator of direct genotoxicity and preneoplastic activity. Mutations in codons 12, 13 and 61 of the gene were found in several human cancers, especially adenocarcinoma of the pancreas, lung and colon cancers. This gene is well conserved throughout generations and is activated in many types of chemically-induced rodent tumors. In the hamster lung, high frequency mutations in K-ras codon 12 and 13 in lung tumors were found to be induced by chemical carcinogens such as N-nitroso compounds. We investigated the status of the K-ras gene in all the pulmonary localized lesions but no mutation was observed. Our study revealed increased expression of PCNA and AgNORs activation indicating continuing cell proliferation. It was reported that indium affects several kinds of gene expression and protein production in vitro and in vivo, but no evidence of genotoxicity was obtained and the basis for the increased cell proliferation in the pulmonary localized lesions remains to be explained. Mechanisms involved in cell proliferation, as induced by these indium compounds, requires further study.

In conclusion, InAs and InP particles are toxic when repeated intratracheal instillations are given to hamsters, and InAs has greater systemic effects than does InP. Dissolved indium and the particles of indium compounds are considered to play crucial roles in causing pulmonary localized lesions with proliferative activities. The biological effects of these semiconductor materials warrant further investigation.

Acknowledgment: This study was supported in part by Grant No.10670317 from the Ministry of Education, Sciences, Sports and Culture, Japan. And we thank Mrs. M. Ohara for language assistance.

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